

# Regulating for Growth

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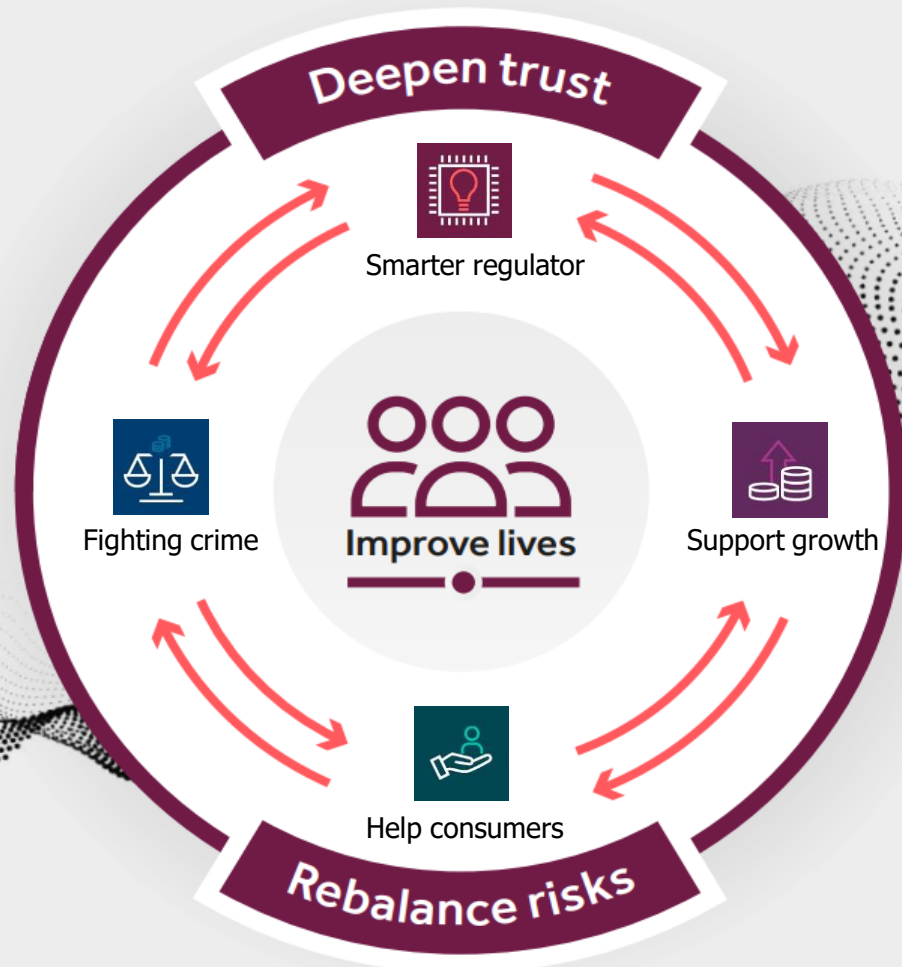
Supervision

Consumer Investments, FCA



# Strategy 2025 2030

## Our priorities



# Raising Standards Together 2025

## Consumer Duty Alliance – 'Four Nation Tour'

- Edinburgh ✓
- Belfast ✓
- Newcastle
- Marlow
- Glamorgan



# FCA Tools



Defined Benefit Advice  
Assessment Tool

# FCA Tools



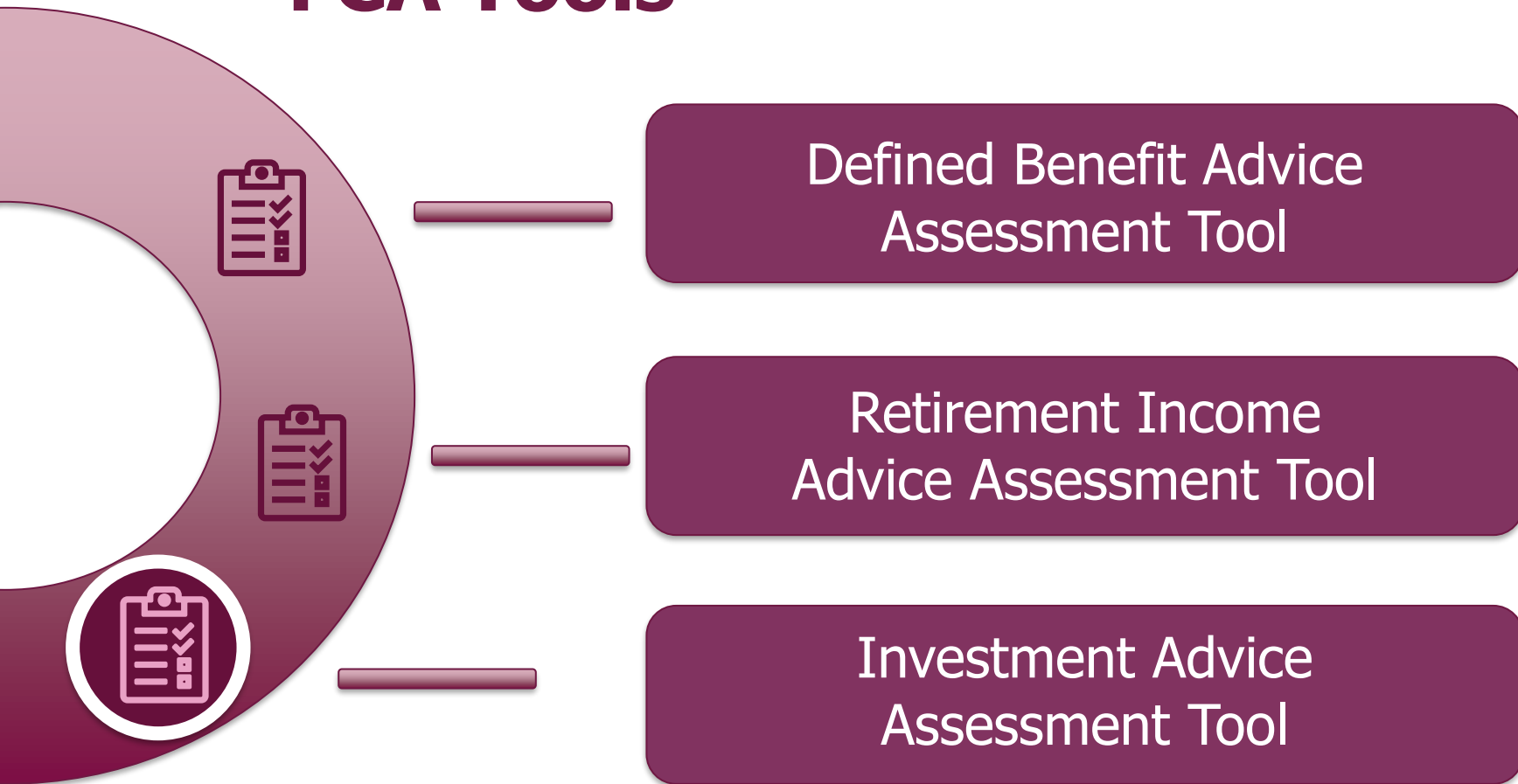
Defined Benefit Advice  
Assessment Tool



Retirement Income  
Advice Assessment Tool



# FCA Tools



# Data



More data-led  
and proactive  
supervision

Proportionate  
approach

Removing  
returns where  
we can

# Consolidation

Preserving a level playing field and highlighting what sustainable growth looks like...



...while taking assertive action on poor practices.



Early insights from the review

# Artificial Intelligence

An increasingly tech positive approach to support growth

Reliance on existing frameworks – no additional regs

AI Lab and AI Live testing there to give firms confidence and support



# **Advice Guidance Boundary Review (AGBR)**

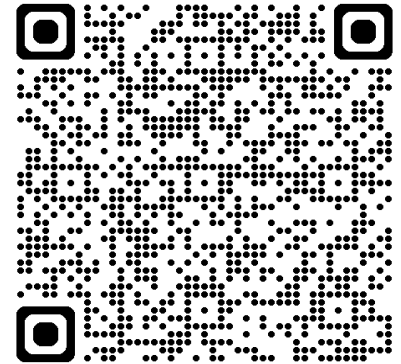
# Advice Guidance Boundary Review (AGBR)

Targeted support  
cannot be a  
replacement for  
holistic financial  
planning advice

Get more  
people  
“stepping on  
something”  
rather than  
nothing

Get involved in  
the consultation!

**Closes  
29 August!**



# Key Takeaways



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Lend your voice to the consultation

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Look out for more bite-sized publications and  
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**More insights and analysis to come**  
Advisers survey to be fully shareable

# Thank you

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